

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
Approved by the Office of Management and Budget OMB Control No. U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(h)Applicant/Patent Owner: Novartis AGApplicant/Patent No.: 08/216,440 / 5,677,931Title: ANTIMALARIAL COMPOSITIONS

Institute of Microbiology and Epidemiology,
Academy of Military Medical Sciences/
Novartis AG University/Corporation
(Name of Assignee)

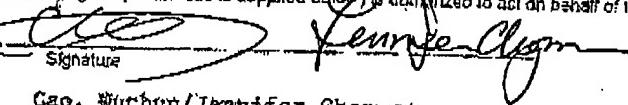
(Type or attach a copy of corporation partnership university government agency etc.)

states that he:

1. the assignee of the entire right title, and interest: in:
 2. an assignee of less than the entire right title, and interest in
(the extent (by percentage) of its ownership interest is _____ %), or
 3. the assignee of an undivided interest in the entirety of a complete assignment from one of the joint inventors was made:
the patent application/patent identified above, by virtue of either:
- A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____ Frame _____ or for which a copy thereof is attached.
- OR
- B. A chain of title from the (inventor(s)), of the patent application/patent identified above, to the current assignee as follows:
1. From: Zhou, Yiqing et al. To: Ciba-Geigy AG/Institute of Microbiology & Epidemiology
The document was recorded in the United States Patent and Trademark Office at Reel 008557 Frame 0400 or for which a copy thereof is attached.
2. From: Ciba-Geigy AG To: Novartis AG
The document was recorded in the United States Patent and Trademark Office at Reel 011072 Frame 0019 or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____ Frame _____ or for which a copy thereof is attached.

 Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(ii), the documentary evidence of the chain of title from the original owner to the assignee was, or subsequently is being, submitted for recordation pursuant to 37 CFR 3.51.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 303.08.]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


SignatureJennifer Chapman

Printed or Typed Name

Director/Parent Attorney

Title

Oct. 15, 2009
Oct. 20, 2009

This collection of information is required by 37 CFR 3.23(b). The information is required to obtain or retain a benefit by law and/or the USPTO is permitted, pursuant to 35 U.S.C. 127 and 37 CFR 1.11 and 1.12, to refuse to consider a trademark application or a patent application if the information is not furnished or if it appears that the information has been supplied more than必要的时间 (necessary time) for responding. Any comments on the need for time to respond to this collection of information should be directed to the Director, Office of Information, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 223-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 223-1450.

I hereby certify that I am retaining the original document in my office.